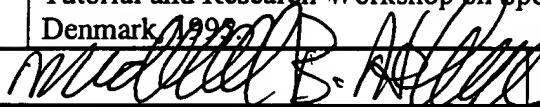


Substitute for form 1449-PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				<i>Complete if Known</i> Application Number 09/869,205 Filing Date September 17, 2001 First Named Inventor David Sadek et al. Art Unit 2121 Examiner Name Michael B. Holmes	
Sheet	1	of	2	Attorney Docket Number	3340.05US01
NON PATENT LITERATURE DOCUMENTS					
EXAMINER INITIAL [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
MBH		D. Sadek. Dialogue acts are rational plans. Proceedings ESCA Tutorial and Research Workshop on the Structures of Multimodal Dialogue, Maratea, Italy, 1991.			
MBH		Sadek, M.D. A study in the logic of intention. Proceedings of the 3rd Conference on Principles of Knowledge Representation and Reasoning (KR'92), pages 462-473, Cambridge, MA, 1992.			
MBH		Sadek, M.D. [translated from French] Foundations of dialogue: Rational interaction. Proceedings of the 4th summer school on Natural Language Processing, pages 229-255, Lannion, France, 1993.			
MBH		Sadek, M.D. [translated from French] Mental attitudes and foundation of cooperative behavior. Pavard, B., editor, Cooperative systems: of modeling the design, Octares Eds., pages 93-117, 1994.			
MBH		Sadek, M.D. Communication theory = rationality principles + communicative act models. Proceedings of the AAI'94 Workshop on planning for Interagent Communication, Seattle, WA, 1994.			
MBH		Sadek, M.D. Towards a theory of belief reconstruction: Application to communication. In (SPECOM94): 251-263.			
MBH		Sadek, M.D., Ferrieux, A., & Cozannet, A. Towards an artificial agent as the kernel of a spoken dialogue system: A progress report. Proceedings of the AAI'94 Workshop on Integration of Natural Language and Speech Processing, Seattle, WA, 1994.			
MBH		D. Sadek, P. Bretier, V. Cadoret, A. Cozannet, P. Dupont, A. Ferrieux, & F. Panaget: A cooperative spoken dialogue system based on a rational agent model: A first implementation on the AGS application. Proceedings of the ESCA Tutorial and Research Workshop on Spoken Language Systems, Hanstholm, Denmark, 1993.			
EXAMINER SIGNATURE				DATE CONSIDERED	03/09/06
<p><small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.</small></p> <p><small>This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.</small></p> <p style="text-align: center;"><small>If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.</small></p>					

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MUBA		M.D. Sadek, P. Bretier, & F. Panaget. ARTIMIS: Natural Dialogue Meets Rational Agency. Proceedings 15th International Joint Conference on Artificial Intelligence (IJCAI'97), Nagoya, Japan, pp. 1030-1035, 1997.			
MUBA		Sadek, M.D. [translated from French] Mental attitudes and rational interaction: Toward a formal theory of communication. Computer Doctorate thesis, University of Rennes I, France, 1991.			
MUBA		P. Bretier, F. Panaget, & D. Sadek. Integrating linguistic capabilities into the formal model of a rational agent: Application to cooperative spoken dialogue. Proceedings of the AAI'95 Fall Symposium on Rational Agency, Cambridge, MA, 1995.			
MUBA		P. Bretier & D. Sadek. Designing and implementing a theory of rational interaction to be the kernel of a cooperative spoken dialogue system. Proceedings of the AAI'95 Fall Symposium on Rational Agency, Cambridge, MA, 1995.			
MUBA		P. Bretier. [translated from French] The cooperative oral communication: Contribution to the logical modeling and implementation of a conversational rational agent. Computer Science Doctoral Thesis, University of Paris XIII, 1995.			
MUBA		Bretier, P. and M.D. Sadek. A rational agent as a kernel of a cooperative dialogue system: Implementing a logical theory of interaction. ECAI'96 Workshop on Agent Theories, Architectures, and Languages, Budapest, Hungary, pp. 189-203, 1997.			
MUBA		Sadek, M.D., Ferrieux A., Cozannet A., Bretier P., Panaget F., & Simonin J. Effective human-computer cooperative spoken dialogues: The AGS demonstrator. In (ISSD 96) (and also Proceedings ICSLP'96 of, Philadelphia, 1996).			
MUBA		Conseil Scientifique de France Telecom, Technical Memo No. 8: Smart Interfaces and Images, October 1996, page 37-61.			
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<p><small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.</small></p> <p><small>This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.</small></p> <p style="text-align: center;"><small>If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.</small></p>					